

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**NORBERT AUNER**

Serial No.: Unknown  
(U.S. National Phase of PCT/DE03/00116)

Filed: Filed Herewith

For: METHOD FOR PRODUCING AMORPHOUS SILICON AND/OR  
ORGANOHALOSILANES PRODUCED THEREFROM

Attorney Docket No.: WAS0647PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)(1)**

Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Thomas Renner describing the content of the references cited in the Information Disclosure Statement.

If applicable, a copy of each reference listed on the attached Form PTO/SB08B or any foreign cited art is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

**NORBERT AUNER**

Date: July 14, 2004  
By:   
William G. Conger, Reg. No. 31,209  
Attorney/Agent for Applicant

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
NORBERT AUNER  
Serial Number :  
Filed: Group Art Unit:  
For: PROCESS FOR PREPARING AMORPHOUS SILICON AND/OR  
ORGANOHALOSILANES OBTAINED THEREFROM:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Thomas Renner, associated with the preparation and prosecution of the above-identified application, residing at Hugo-Weiss-Str. 114, 81827 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application/European/International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 4,756,896 is already in the English language.

WO 0 114 250 is already in the English language.

WO 8 302 443 is already in the English language.

US 4,446,120 is already in the English language.

For EP 0 167 156 A1 the corresponding U.S. patent 4,604,272 is enclosed.

Signed this 6<sup>th</sup> day of July, 2004.



Dr. Thomas Renner

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	Unknown <b>10/501369</b>
				Filing Date	Filed Herewith
				First Named Inventor	Norbert Auner
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	WAS0647PUSA

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.